

SON-1212/RE

REISSUE APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Reissue Application for )  
 )  
U.S. Patent No. 6,041,031 issued March 21, 2000 )  
 )  
Inventors: ISAO ICHIMURA ET AL. )  
 ) Attn: Applications Branch  
Reissue No. (Unassigned) )  
 )  
Title: OPTICAL DISC RECORDING/ )  
REPRODUCTION APPARATUS AND )  
METHOD )

**REISSUE APPLICATION DECLARATION BY THE INVENTORS**

Assistant Commissioner for Patents  
Box NEW REISSUE APPLICATION  
Washington, D.C. 20231

Sir:

As a below named inventor, I hereby declare that:

1. My residence, post office address and citizenship are stated below next to my name.
2. I believe I am an original, first and joint inventor of the subject matter which is described and claimed in U.S. Patent No. 6,041,031, granted March 21, 2000, and for which a reissue patent is sought on the invention entitled "OPTICAL DISC RECORDING/REPRODUCTION APPARATUS AND METHOD," the amended specification and claims of which are attached hereto.
3. I have reviewed and understand the contents of the above identified specification, including the claims, as amended for this reissue application.

007027-92792260

4. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

5. I hereby claim foreign priority benefits under 35 U.S.C. 119 of Japanese Patent Application No. P08-340904 filed on December 20, 1996. I further state that no foreign applications for patent or inventor's certificate were filed having a filing date before that of the above-identified application on which priority is claimed.

6. I verily believe the original patent to be wholly or partly inoperative or invalid, by reason of the patentee claiming more or less than he had the right to claim in the patent.

7. At least one error upon which reissue is based is described as follows: The independent claims 1 and 7 in the original patent each includes in its last paragraph the limitation "a distance between the objective lens and the forward lens of 25  $\mu$ m or less." This limitation is inconsistent with the description of the invention provided in column 5, lines 41 to 45, of the original specification of the '031 patent, and therefore, may render the scope of these claims indefinite and at least partly inoperative or invalid under 35 U.S.C. 112. This limitation, if construed without the benefit of the corresponding language of the patent specification (column 5, lines 41 to 45), may also cause the scope of the original claims to be too narrow to read on an apparatus that has a distance between the objective lens and the forward lens of more than 25  $\mu$ m, thereby rendering the original patent at least partly inoperative for this additional reason. The limitation in claims 1 and 7 should have properly read --an assembly accuracy of a distance between the objective lens and the forward lens of  $\pm$  25  $\mu$ m [or less]-- to make the claims

Docket No. SON-1212/RE  
Serial No. (Unassigned)

REISSUE APPLICATION

correspond with the original patent disclosure, as set forth in column 5, lines 41 to 45, of the '031 patent.

8. Another error upon which reissue is based is found in independent claim 8. This error is the same as that described in the preceding paragraph in connection with independent claims 1 and 7, except that claim 8 uses the terms "first lens" and "second lens" instead of "objective lens" and "forward lens," respectively.

9. This reissue application also includes an amendment to correct a typographical error in column 1, line 28, of the specification, to change "font" into --front--. Support for this amendment is found, for example, in column 1, line 45, which recites "wave front aberration."

9. All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.

10. As a named inventor, I hereby appoint the following attorneys and agents to prosecute this application and transact all business in the U.S. Patent & Trademark Office connected therewith:

Ronald P. Kananen, Reg. No. 24,104; John E. McGarry, Reg. No. 22,360; Joel E. Bair, Reg. No. 33,356; H. Lawrence Smith, Reg. No. 24,900; Richard D. Grauer, Reg. No. 22,388; Ralph T. Rader, Reg. No. 28,772; Michael D. Fishman, Reg. No. 31,951; Joseph V. Coppola, Sr., Reg. No. 33,373; Mark A. Davis, Reg. No. 37,118; Michael B. Stewart, Reg. No. 36,018; Stefan V. Chemielewski, Reg. No. 39,914; Alexander D. Rabinovich, Reg. No. 37,425; Kristin L. Murphy, Reg. No. 41,212; Kevin D. Rutherford, Reg. No. 40,412; David K. Benson, Reg. No. 42,314; Glenn E. Forbis, Reg. No. 40,610; Christopher M. Tanner, Reg. No. 41,518; Robert S. Green, Reg. No. 41,800; Matthew J. Russo, Reg. No. 41,282; G. Thomas Williams, Reg. No. 42,228; Shmuel Livnat, Reg. No. 33,949; Jeffrey L. Thompson, Reg. No. 37,025.

09726436-120100  
007027-9E92260

Docket No. SON-1212/RE  
Serial No. (Unassigned)

REISSUE APPLICATION

11. All communications about this application should be directed to:

**Ronald P. Kananen, Esq.**  
RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W., Suite 501  
Washington, D.C. 20036  
Telephone: (202) 955-3750  
Facsimile: (202) 955-3751

12. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Signature: Isao Ichimura  
Name: Isao Ichimura  
Residence: Kanagawa, Japan  
Post Office Address: c/o Sony Corporation  
7-35, Kitashinagawa 6-Chome  
Shinagawa-Ku, Tokyo, Japan  
Citizenship: Japanese

Date: October 30, 2000

Signature: Fumisada Maeda  
Name: Fumisada Maeda  
Residence: Tokyo, Japan  
Post Office Address: c/o Sony Corporation  
7-35, Kitashinagawa 6-Chome  
Shinagawa-Ku, Tokyo, Japan  
Citizenship: Japanese

Date: October 30, 2000

09726436-120100

Docket No. SON-1212/RE  
Serial No. (Unassigned)

REISSUE APPLICATION

Signature: Kenji Yamamoto  
Name: Kenji Yamamoto  
Residence: Tokyo, Japan  
Post Office Address: c/o Sony Corporation  
7-35, Kitashinagawa 6-Chome  
Shinagawa-Ku, Tokyo, Japan  
Citizenship: Japanese

Date: October 30, 2000

Signature: Kiyoshi Ohsato  
Name: Kiyoshi Ohsato  
Residence: Chiba, Japan  
Post Office Address: c/o Sony Corporation  
7-35, Kitashinagawa 6-Chome  
Shinagawa-Ku, Tokyo, Japan  
Citizenship: Japanese

Date: October 30, 2000

Signature: Toshio Watanabe  
Name: Toshio Watanabe  
Residence: Kanagawa, Japan  
Post Office Address: c/o Sony Corporation  
7-35, Kitashinagawa 6-Chome  
Shinagawa-Ku, Tokyo, Japan  
Citizenship: Japanese

Date: October 30, 2000

Signature: Akira Suzuki  
Name: Akira Suzuki  
Residence: Kanagawa, Japan  
Post Office Address: c/o Sony Corporation  
7-35, Kitashinagawa 6-Chome  
Shinagawa-Ku, Tokyo, Japan  
Citizenship: Japanese

Date: October 30, 2000

09726435-120100

599P113045a

SON-1212/RE

REISSUE APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Reissue Application for	)	
	)	
U.S. Patent No. 6,041,031 issued March 21, 2000	)	
	)	
Inventors: ISAO ICHIMURA ET AL.	)	
	)	Attn: Applications Branch
Reissue No. (Unassigned)	)	
	)	
Title: OPTICAL DISC RECORDING/	)	
REPRODUCTION APPARATUS AND	)	
METHOD	)	

**CONSENT OF ASSIGNEE AND  
OFFER TO SURRENDER PATENT**

Assistant Commissioner for Patents  
Box NEW REISSUE APPLICATION  
Washington, D.C. 20231

Sir:

This is part of the application for a reissue patent based on the original patent issued to Isao Ichimura et al. on March 21, 2000, as U.S. Patent No. 6,041,031, for an invention titled "OPTICAL DISC RECORDING/REPRODUCTION APPARATUS AND METHOD."

Sony Corporation of Tokyo, Japan, is the assignee of the entire interest in the original patent. A certificate under 37 CFR 3.73(b) is attached.

The undersigned is authorized to act on behalf of the assignee, and hereby offers to surrender the original patent.

The undersigned, on behalf of the assignee, also consents to the filing of the present application for the reissue of U.S. Patent No. 6,041,031.

09726436-120100

Docket No. SON-1212/RE  
Serial No. (Unassigned)

REISSUE APPLICATION

The undersigned hereby declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

SONY CORPORATION

Date: Nov. 27, 2000

By:

Yujiro Yamanaka

Name: Yujiro Yamanaka, Manager

Title: Legal & Intellectual Property Dept.

09726436-120100